

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED. WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL IN AN ENVELOPE ADDRESSED TO: ASSISTANT COMMISSIONER FOR PATENTS,

WASHINGTON, D.C. 20231, ON OCTOBER 30, 2002

Vuennes Diess AGENTIATTORNEY FOR APPLICANT

October 30, 2002 DATE

NOV n 7 2002

TECH CENTER 1600/2900

Attorney Docket No. 1286

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

ື່Shi et al.

Date:

October 30, 2002

Serial No.:

10/042,894

Group Art Unit:

Filed:

January 9, 2002

Examiner:

For:

Novel Inositol Polyphosphate Kinase Genes and Uses Thereof

Assistant Commissioner for Patents Washington, D.C. 20231

FILING OF AN INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)(3)

The attached list of citations on PTO Form 1449 is being submitted under the provisions of 37 C.F.R. §1.56 and §1.97(b) in order to comply with the duty of disclosure. Their inclusion herein should not, however, be construed as an admission that any particular cited reference is effective prior art or that it discloses or renders obvious any aspect of the claimed invention. A copy of each cited reference is enclosed, except for those references which are already part of the filewrapper. This statement is being filed within the time period specified in 37 C.F.R. §1.97(b). No fee is required.

Respectfully submitted,

Virginia Dress

Agent for Applicant(s)

Registration No. 48,243

PIONEER HI-BRED INTERNATIONAL, INC. Corporate Intellectual Property 7100 N.W. 62nd Avenue P.O. Box 1000 Johnston, Iowa 50131-1000 Phone: (515) 270-4192 Facsimile: (515) 334-6883

| 6 | PEVO | 3/ |
|------|----------|--------|
| NOV | 0 4 2002 | |
| RIEN | FRIDE | 0-1449 |

U.S. Department of Commerce Patent and Trademark Office

ATTORNEY DOCKET NO. SERIAL NO. 10/042,89RECEIVED 1286

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT

Shi et al. FILING DATE

(Use several sheets if necessary)

January 9, 2002

NOV 6 7 2002 GROUP TECH CENTER 1600/290

U.S. PATENT DOCUMENTS

| Examiner Initial | Document Number | Date | Name | Class | Subclass | Filing Date If Appropriate |
|---------------------|-----------------|------|------|-------|----------|----------------------------|
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | | | | | | Translation | |
|---|----|-----------------|----------|---------|-------|----------|-------------|----|
| | | Document Number | Date | Country | Class | Subclass | Yes | No |
| | A1 | WO 99/05298 | 02/04/99 | PCT | C12N | 15/82 | | |
| : | A2 | WO 99/55879 | 11/04/99 | PCT | C12N | 15/54 | | |
| | A3 | WO 01/04147 A2 | 01/18/01 | PCT | C07K | 14/00 | | |

OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

| | A4 | Hatzack et al., "High-performance thin-layer chromatography method for inositol phosphate analysis", <i>Journal of Chromatography</i> B 736:221-229 (1999) |
|----------|-----|---|
| | A5 | Loewus et al., "myo-Inositol metabolism in plants", Plant Science 150:1-19 (2000) |
| | A6 | Majerus et al., "The Role of Phosphatases in Inositol Signaling Reactions", <i>J. Biol. Chem.</i> 274(16):10669-10672 (1999) |
| | A7 | Odom et al., "A Role for Nuclear Inositol 1,4,5-Trisphosphate Kinase in Transcriptional Control", <i>Science</i> 287:2026-2029 (2000) |
| | A8 | Saiardi et al., "Synthesis of diphosphoinositol pentakisphosphate by a newly identified family of higher inositol polyphosphate kinases", <i>Current Biology</i> 9:1323-1326 (1999) |
| | A9 | Saiardi et al., "Inositol polyphosphate multikinase (ArgRIII) determines nuclear mRNA export in Saccharomyces cerevisiae", FEBS Letters 468:28-32 (2000) |
| • | A10 | Spencer et al., "Separation of Higher Inositol Phosphates by Polyethyleneimine-Cellulose Thin-Layer Chromatography and by Dowex Chloride Column Chromatography", Methods in Inositide Research pp. 39-43 (1990) |
| | A11 | Clandinin et al., Accession No. AF045613, "Caenorhabditis elegans inositol trisphosphate 3-kinase form 3 (LEFE-2) mRNA, complete cds" (1998) |
| | A12 | Dubois et al., Accession No. X05328, "Yeast ARGRIII gene for arginine metabolism regulation" (1993) |
| EXAMINER | | DATE CONSIDERED |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| OLD E ACT | |
|--------------|--|
| HON O 4 SOUS | |
| PTIO 249 | |

EXAMINER

U.S. Department of Commerce Patent and Trademark Office

| ATTORNEY DOCKET NO. | SERIAL NO. |
|---------------------|------------|
| | |

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

| 1286 | 10/042,894 |
|-------------|------------|
| APPLICANT | |
| Shi et al. | |
| FILING DATE | GROUP |
| | |

| | | | U.S. P | ATENT DOCUMENTS | | | | | | |
|---------------------|-----|--|--|---------------------------------------|----------------|---------------|-------------------|-----------------|--|--|
| Examiner Initial | | Document Number | Date | Name | Class | Subclass | Filing If Appr | Date opriate | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | I | | FOREIGI | N PATENT DOCUMENTS | · · | | | | | |
| | | | 5. | 2 | Class | Cubalasa | Trans Yes | nslation | | |
| | | Document Number | Date | Country | Class | Subclass | res | No | | |
| | · | | | | | <u> </u> | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | OTHER DOC | UMENTS (Inc | cluding Author, Title, Date Pertinent | Pages, Etc.) | | | | | |
| | A13 | | Huang et al., Accession No. S54640, "KCS1 protein – yeast (Saccharomyces cerevisiae)" | | | | | | | |
| | | (2000) | | | | | | | | |
| | A14 | | Takazawa et al., Accession No. X54938, "Human mRNA for inositol 1,4,5-triphosphate 3-kinase" (1991) Takazawa et al., Accession No. X56917, "Rat mRNA for inositol 1,4,5-triphosphate 3-kinase" | | | | | | | |
| | | | | | | | | | | |
| | A15 | . | cession No. | X56917, "Rat mRNA for inc | ositol 1,4,5- | triphospha | te 3-kir | nase" | | |
| | | (1991) 16 Takazawa et al., Accession No. X57206, "H.sapiens mRNA for 1D-myo-inositol-trisphosph | | | | | | | | |
| | A16 | | | X5/206, "H.sapiens mRNA | ior 1D-myc | -inositoi-ti | rispnos | pnate | | |
| | A17 | 3-kinase B isoenzyr | | 74227, "R.norvegicus mRNA | for ID3 3 1 | kinace" (10 | 000) | | | |
| r | - | Wilson et al., Acce | SSION No. Al | E090172 "Archidongia thalia | no inocital | 1 2 1 trient | ocehat | o 5/6 | | |
| | A18 | | Wilson et al., Accession No. AF080173, "Arabidopsis thaliana inositol 1,3,4-trisphosphate 5/6-kinase mRNA, complete cds" (1998) | | | | | | | |
| 1 | A19 | | <u> </u> | 678, "Arabidopsis thaliana m | RNA for n | itative inos | sitol | | | |
| | Als | hexaphosphate kina | | | ild vir for pe | atuti vo ino. | 31101 | | | |
| | A20 | | | | nRNA for I | nositol 1 3 | 4- | | | |
| | 720 | Xue et al., Accession No. AJ001753, "Arabidopsis thaliana mRNA for Inositol 1,3,4- Trisphosphate 5/6 Kinase" (1998) | | | | | | | | |
| | | Trisphosphate 5/0 I | 111111111111111111111111111111111111111 | | - | | | _ | | |

January 9, 2002

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED